



Navigating the Confluence of Machine Learning with Deep Learning: Unveiling CNNs, Layer Configurations, Activation Functions, and Real-World Utilizations

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ABSTRACT

In recent years, field of Artificial Intelligence, machine learning, deep learning has been deemed the gold standard in technology community. Deep learning has achieved remarkable progress and gradually become the most widely used computational approach in the field of ML. A comprehensive survey reveals that deep learning has achieved widespread utilization across diverse application domains. This advanced machine learning technique has been extensively applied in a various field with its remarkable versatile capabilities. Deep Learning extensively employs Convolutional Neural Networks (CNNs) and Recurrent Neural Network (RNNs). CNNs are a specialized subset of deep learning models, tailored to effectively process grid-like data such as images. CNN has specialized architecture to capture hierarchical features, making them highly suited for tasks involving spatial relationships and pattern recognition within images. CNN has widespread application areas. In this research paper, we undertake a comprehensive and critical study of the extensive body of literature spanning the domains of Machine Learning, Deep Learning, and Convolutional Neural Networks. Our analysis explores key developments, trends, challenges, and applications within these interconnected fields, providing a deep understanding of their evolution and impact. This paper outlines the concept of machine learning, deep learning, Convolutional Neural Networks, layers of CNN, its activation functions, application areas and challenges in CNN.

KEYWORDS: Artificial Intelligence, Machine Learning, Deep Learning, Convolutional Neural Networks, convolution layer, pooling layer, fully connected layer, drop outs, activation functions

1. INTRODUCTION:

Machine learning is a specialized area within the broader field of artificial intelligence. In 1959, Arthur Samuel brought forth machine learning, a revolutionary concept that empowers systems to learn from their

experiences. This is accomplished through algorithmic techniques, yield computers to obtain knowledge without direct, human-programmed instructions. Now a days, intelligent systems which propose artificial intelligence capabilities often rely on machine learning.

The goal is to understand the structure of data and fit that data into models that can be understood and utilized by people. In ML algorithms, models are trained on input data and certain statistical techniques are used for analysis in order to output values that fall within specific range. By machine learning techniques, computers can make analysis of sample data, construct models and automate the decision-making process.

Deep learning is a subfield of machine learning that accomplishes great power and flexibility by learning base on artificial neural network [7]. With the help of three or more layers, neural network simulates the behavior of the human brain from its matching ability, allow it to learn from large amounts of data. Deep Learning extensively employs Convolutional Neural Networks (CNNs) and Recurrent Neural Network (RNNs). CNN is a specific type of artificial neural network mainly utilized for classification tasks and object recognition tasks. CNNs significantly identifies objects within images, making them a crucial component of Deep Learning. In CNN, the major building blocks are convolutional layer, pooling and fully connected layer. An essential factor within the CNN model is the activation function, which plays a critical role. CNN's widespread application spans various functions such image recognition, image classification, speech recognition, and text classification, computer vision tasks like localization and segmentation, video analysis, obstacle recognition in self-driving cars etc. Recently, in cloud-based scenarios, the utilization of CNN models has been increased. Day by day it will grow fast and will become more widespread. CNN stands out for its adaptability in tackling complex tasks, including natural language processing, recommendation systems, object detection, classification and many more [1].

2. LITERATURE SURVEY

1. Yunfei Lai "A Comparison of Traditional Machine Learning and Deep Learning in Image Recognition", in International Conference on Electrical, Mechanical and Computer Engineering , 2019 describes a comparison of traditional machine learning and the learning in image recognition huge amount of information is in pictorial form through mobile smartphone and social networks Traditional methods to identify the pictures do not meet demand very well Automatic image recognition helps to recognize image efficiently and get the corresponding

information Traditional machine learning methods handles one dimensional vector information. It can lose adjacent information and miss some important features of images. Progressively deep learning is applied to the field of image recognition. It deals with two-dimensional data and extract its features automatically. Due to good learning ability and low generalization error deep learning is becoming more popular than traditional machine learning methods this paper compares support vector machine and deep learning on image handwritten digital image recognition is used for comparison result shows deep learning method is more accurate and stable.

2. Prof. Edmund Y. Lam "Image Reconstruction Using Deep Learning" in this paper improvements are applied when noise is strong using poison image denoising in low-light and photon-limited settings. Due to motion blur, low resolution & noise there can be corruption in images. There can be variation in color & brightness of an image corresponding to Ideal image of the real scene. Atmospheric disturbances, heat etc. generates the image noise. Image noise is everywhere due to lack of light and imperfect camera sensors. While taking pictures with lower-grade camera lenses and sensors, usually the resulting images are immersed in dirty pixels, known as image noise. Increasing need of mobile devices, there is necessity for an effective noise-removing algorithm.

3. Liang Bai "Image Captioning Based on Deep Neural Networks" in this research utilizing the advancements in image classification and object detection, researcher generate one or more sentences automatically to gain a better understanding of the visual context within an image. This challenge is known as Image Captioning. It Generates complete and natural image descriptions. such as titles attached to news images, descriptions associated with medical images, text-based image retrieval, information accessed for blind users, human-robot interaction. These applications in image captioning have important theoretical and practical research value. Therefore, image captioning is a more complicated but meaningful task in the age of artificial intelligence. The challenge of image captioning is to design a model that can fully use image information to generate more human-like rich image descriptions. of high-level image semantics requires not only the understanding of objects or scene recognition in the image, but also the ability to analyze their states, understand the relationship among them and generate a

semantically and syntactically correct sentence. In this paper, author divide the image captioning methods with neural networks into three categories: CNN-RNN based, CNN-CNN based and reinforcement-based framework for image captioning. It has proved that the CNN convolution model is used to replace the RNN recurrent model, which not only exceeds the accuracy of the cycle model, but also increases the training speed by a factor.

4. Divya P “DEEP LEARNING: TECHNIQUES AND APPLICATIONS” in this paper, deep learning deals with unstructured data while executing large number of functions. It results in better flexibility. It passes data through multiple layers. Each layer extracts some features and carry forwards to the next layer. Output layer combines all features to form complete representation. Different techniques like CNN, RNN, GAN are discussed. The applications where deep learning is used are NLP, health care, virtual assistant, visual assistant, visual recognition, adding sound to silent movies, sentiment analysis, automatic colorization to black & white images, automatic machine translation.

5. Purwono Purwono “Understanding of Convolutional Neural Network (CNN): A Review” in International Journal of Robotics and Control Systems in this paper researcher has discussed the concepts like ML, CNN, its architecture, layers in CNN. Author has also discussed difference between machine learning and CNN, applications on CNN. Broadly, machine learning can autonomously learn without constant human intervention, reducing the need for repetitive programming. In contrast, deep learning represents a subset of machine learning that strives to replicate the intricate processes of the human brain through artificial neural networks. Among the most widely utilized techniques within deep learning is the convolutional neural network (CNN). CNN has wide application area such as image classification, segmentation, object detection, video processing, natural language processing, and speech recognition. Architecture of a Convolutional Neural Network (CNN) typically involves four key layers: a convolutional layer, a pooling layer, a fully connected layer, and a nonlinearity layer. The central technique in CNN algorithms is convolution, where a filter moves across an input, combining input and filter values to generate a feature map. The pooling

layer serves to merge successive convolutional layers, reducing parameters and computational demand through down sampling. This layer's function often involves maximizing or averaging outcomes. Meanwhile, the fully connected layer establishes connections between all activation neurons from the preceding layer to the subsequent one. This mechanism facilitates the comprehensive integration of information from one layer to the next. An activation function has a pivotal role within CNN layers. It introduces an additional mathematical function to the filtered output. There are various activation functions: Sigmoid, Tanh, ReLU, Leaky ReLU, Noisy ReLU, and Parametric Linear Units. Each of these functions imbues the layer with distinct characteristics and behaviors, influencing how the network processes and propagates information.

3. TERMINOLOGY

A. Artificial Intelligence (AI)

Artificial intelligence spans a wide spectrum, signifying the application of technology to construct machines and computers with the capacity to mimic human cognitive functions. These functions encompass tasks such as visual perception, understanding and reacting to spoken or written language, data analysis, giving recommendations, and a range of other abilities. In the context of AI, our aim is to develop intelligent systems that can carry out tasks as effectively as humans.

B. Machine Learning (ML)

Machine learning is a specialized area within the broader field of artificial intelligence, focused on the creation and refinement of algorithms and models that empower computers to identify patterns within data and autonomously arrive at decisions [6]. This capacity to discern patterns and make determinations is achieved without the necessity of explicit, task-specific programming for each individual scenario. Fig.1 depicts, different types of machine learning models & their techniques.

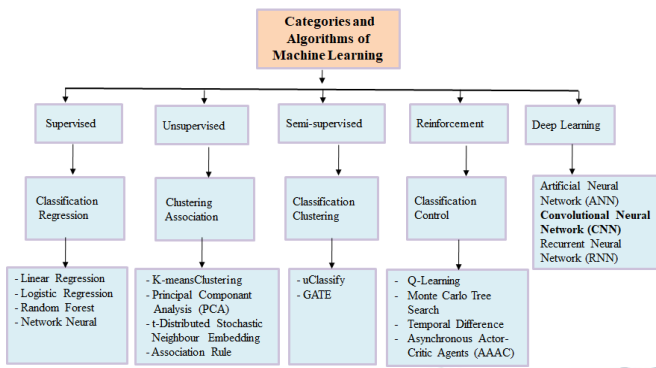


Fig.1 Types of Machine Learning Models

C. Deep Learning (DL)

Deep learning involves a crucial aspect known as feature extraction, which proves invaluable in pattern recognition and image processing. With the help of an algorithm, feature extraction automatically derives meaningful data representations for the purpose of training, learning, and comprehension. Generally, feature extraction is conducted by a data scientist or a programmer. Now a days the evolution of artificial intelligence is strongly dependent on deep learning. The progress of deep learning is ongoing, making it essential to nurture creative and innovative concepts. To promote artificial intelligence further, the integration of semantics technology with deep learning enables more human-like and natural conversations.

Deep learning constitutes a subset of machine learning that centers on the utilization of neural networks, distinguished by their intricate web of interconnected layers. This architecture facilitates the handling of intricate data representations. Here's a comprehensive overview:

Neural Network Architecture: At the core of deep learning lies the neural network structure. Inspired by the arrangement of neurons in the human brain, these networks comprise layers of nodes, each layer dedicated to specific transformations of data.

Depth and Complexity: The term "deep" in deep learning refers to the networks' depth, which signifies the presence of multiple hidden layers sandwiched between the input and output layers. These layers progressively distill abstract features, allowing the network to discern intricate patterns and relationships within data.

Feature Extraction: One of deep learning's strengths lies in its automated feature extraction capability. Rather than requiring manually-engineered features, the network autonomously identifies salient patterns within raw data, reducing the need for human intervention.

Learning Mechanism: Deep learning networks learn through iterative adjustments of their weights, guided by the disparity between predicted and actual outcomes. This process, known as backpropagation, employs optimization algorithms to fine-tune the network's parameters.

Convolution Neural Network (CNN) and its' Layered Configuration:

The CNN is a well known and frequently implemented algorithm in Deep Learning Neural Network. It uses computer vision, which is a field of AI that empower a computer to recognize and interpret the image or visual data[4]. It is employed to solve complex visual tasks with high computation. CNN have been applicable in a variety of areas such as Computer vision, Speech, image or face recognition etc.

CNN was influenced by neurons which were present in human or animal's brain[3].

CNN is designed to learn features mapping through backpropagation by applying multiple building blocks like convolution layers, pooling layers and fully connected layers as shown in Fig[2].

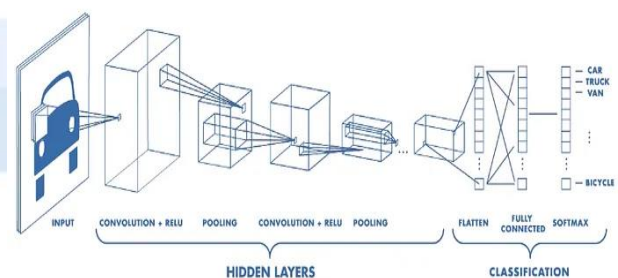


Fig. 2: Architecture of a CNN [2]

1. Convolution Layer

In CNN Convolutional layers are the major building blocks. It handles the main part of the network's computational load [8]. It encompasses a set of filters (also called Kernels). These filters are parameters of which are to be learned all over the training phase. Generally, the size of the filters is smaller than the actual

image. Every filter convolves with the image and generates an activation map. Convolution Layer extract the several features from the input images. Here the mathematical operation of convolution is carried out between the input image and a filter of a particular size $M \times M$. The dot product operation is applied between the filter and the parts of the input image with respect to the size of the filter ($M \times M$), by sliding the filter over the input image. The generated output is called as the Feature map. It gives us information about the image like the corners and edges. Afterwards, this feature map is provided to other layers to learn various other features of the input image. The convolution layer in CNN proceed the resultant output to the next layer after applying the convolution operation in the input. The advantage of convolutional layer is, it ensures the spatial relationship between the pixels.

2. Pooling Layer

Pooling layer is applied after convolutional layer. The main objective of this layer is to minimize the size of the convoluted feature map, which brings down the computational cost. This is applied by minimizing the connection between layers and separately operates on each feature map. Based upon method, there are many types of pooling operations are applied. Generally, it gives summarized output of the features which were produced by a convolution layer.

Max pooling involves selecting the highest value from a specific section of a feature map. On the other hand, average pooling computes the mean of elements within a defined image area. The term Sum pooling is calculated by sum of elements within a predetermined section. The pooling layer generally acts as a bridge between the convolutional layer and the fully connected layer in a neural network.

This convolutional neural network (CNN) architecture facilitates the abstraction of features obtained from the convolutional layers, enabling the network to independently identify these features. This process not only enhances the network's ability to generalize patterns but also contributes to reducing computational load within the network.

3. Fully Connected Layer

The Fully Connected (FC) layer comprises neurons, weights, and biases, serving to establish connections between neurons from distinct layers. Typically positioned before the output layer, these layers

constitute the final segments of a CNN architecture.

In this process, the image input originating from preceding layers is reshaped into a flattened vector and directed to the Fully Connected (FC) layer. This flattened vector subsequently passes through several additional FC layers where mathematical operations commonly occur. At this point, the classification procedure commences. The rationale behind connecting two layers lies in the fact that the performance is improved when multiple fully connected layers are employed as opposed to a single layer. These layers within the CNN framework curtail the need for extensive human oversight.

4. Drop Out

Typically, when all the features are linked to the FC layer, it can lead to overfitting. Overfitting emerges when a model excels on the training data to such an extent that it detrimentally affects the model's performance when applied to new, unseen data. To address this issue, a solution involves incorporating a dropout layer. This layer entails excluding certain neurons from the neural network during the training phase, effectively decreasing the model's size. For instance, by applying a dropout rate of 0.3, approximately 30% of nodes are randomly omitted from the neural network. Dropout proves beneficial for enhancing the effectiveness of a machine learning model by mitigating overfitting through the simplification of the network. This is achieved by removing neurons from the neural network during the training process.

4. ACTIVATION FUNCTIONS

Some of the popular activation functions in CNN and other neural networks[2]:

1. Sigmoid: Sigmoid is widely utilized which takes real-valued inputs and ensures the output falls within the range of 0 to 1. The sigmoid function can be visually depicted as an S-shaped curve, mathematically expressed as:

$$f(x)\text{sigm} = \frac{1}{1 + e^{-x}}$$

2. Tanh: Tanh is another activation function like sigmoid, as both operate on real numbers. However, the Tanh function ensures the output falls within the range of -1 to 1. Mathematically, the Tanh function can be represented

as:

$$f(x)\tanh = \frac{e^x + e^{-x}}{e^x - e^{-x}}$$

3. ReLU: ReLU is the most common activation function in CNN. It converts all inputs into positive numbers. This function is favored for its lower computational requirements compared to others. Mathematically ReLU can be represented as :

$$f(x)\text{ReLU} = \max(0, x)$$

4. Leaky ReLU: In contrast to the standard ReLU, Leaky ReLU tackles the dying ReLU issue by ensuring that negative inputs are not completely dropped. By introducing a small, non-zero slope for negative values, Leaky ReLU helps maintain the responsiveness of neurons. The mathematical representation of Leaky ReLU is presented as:

$$f(x)\text{LeakyReLU} = \begin{cases} x, & \text{if } x > 0 \\ mx, & \text{if } x \leq 0 \end{cases}$$

5. Noisy ReLU: is a variation of the ReLU activation function that supply random noise to the activation output, offering a stochastic element in the network. This addition of noise enhances the neural network's robustness and generalization during the training process. The mathematical expression of the Noisy ReLU function is presented as:

$$f(x)\text{NoisyReLU} = \max(x + Y), \text{ with } Y \sim N(0, \sigma(x))$$

6. PReLU: PReLU, like Leaky ReLU, adopts a similar concept, but the distinction lies in its adaptive nature. Unlike Leaky ReLU's fixed leak factor, PReLU allows the leak factor to be updated during training, empowering the network to learn the most appropriate leak values for each neuron.

$$f(x)\text{ParametricLinear} = \begin{cases} x, & \text{if } x > 0 \\ ax, & \text{if } x \leq 0 \end{cases}$$

5. CNN APPLICATIONS

Convolutional Neural Networks (CNNs) have wide spectrum of applications. Here are some prominent applications of CNNs[9]:

1. **Image Classification:** CNNs are widely used for image classification problem, in which the important goal is to assign an output class to an input image.
2. **Object Detection:** CNNs are used for object detection. It identifies and localize multiple objects within an image. Popular methods like R-CNN, Fast R-CNN, Faster R-CNN, and YOLO utilize CNNs to achieve real-time object detection[4].
3. **Semantic Segmentation:** In Semantic segmentation, pixel-wise labeling of objects and regions within an image is done. Popular CNN architectures like U-Net and FCN have been successful in this area. It is useful in medical imaging, autonomous driving, and more.
4. **Instance Segmentation:** It identifies individual instances of objects within an image and providing pixel-level masks for each instance. CNN-based methods like Mask R-CNN are commonly used. Instance segmentation is an extended part of object detection and semantic segmentation.
5. **Face Recognition:** CNNs are used to extract features from facial images and can be applied in security systems, authentication, and entertainment applications. It plays vital role in face recognition.
6. **Style Transfer:** This technique has been used to create various art and design effects. Artistic styles from one image to another is applied using CNN. It creates visually appealing artistic renditions of photographs.
7. **Super-Resolution:** By using CNN resolution of images can be enhance, also making them clearer and more detailed. It is useful in medical imaging, satellite imagery, and more.
8. **Image Generation:** New images can be created by learning patterns and structures from a dataset using CNN technique. Generative Adversarial Networks (GANs) and Variational Autoencoders (VAEs) are popular CNN-based models for image generation.
9. **Video Analysis:** CNNs demonstrate their versatility by being leveraged in action recognition, video classification, and video object tracking, contributing to surveillance, video editing, and entertainment applications.
10. **Medical Imaging:** CNNs contribute significantly to analyze medical images, assisting in diagnosis, and detecting abnormalities in X-rays, MRIs, and other medical imaging modalities.
11. **Autonomous Driving:** CNNs are used in perception

systems for self-driving cars to detect and recognize pedestrians, other vehicles, traffic signs, and lane markings.

12. **Natural Language Processing (NLP):** While primarily applied to images, CNNs have been used in NLP tasks like text classification and sentiment analysis by treating text as an image and using 1D convolutions.
13. **Satellite Image Analysis:** CNNs are employed for land cover classification, object detection, and change detection in satellite images, aiding in urban planning, agriculture, and environmental monitoring.
14. **Biometric authentication:** By identifying specific physical traits connected to a person's face, CNN Model has been used for biometric identification of user identity. CNN models also recognize various emotional states such as happiness or sadness based on photos or videos of people's faces [5].

6. CHALLENGES AND LIMITATIONS

While Convolutional Neural Networks (CNNs) have shown impressive success in various applications, they also come with their fair share of challenges and limitations. Certain challenges include:

1. **Data Limitations:** CNNs typically require vast amounts of labeled training data to generalize well. Gathering and annotating such datasets can be time-consuming, expensive, and sometimes impractical, especially for specialized or niche domains.
2. **Overfitting:** CNNs can be prone to overfitting, where they perform well on the training data but struggle to generalize to new, unseen data. Regularization techniques like dropout and batch normalization are often used to reduce this issue.
3. **Domain Adaptation:** CNNs trained on one domain may not perform well when applied to a different domain due to domain-specific differences in data distribution and characteristics.
4. **Computational Complexity:** Deeper CNN architectures with many layers and parameters can be computationally intensive and need powerful hardware (such as GPUs) for training and inference. This can limit their accessibility to researchers and practitioners with limited resources.

5. **Scale and Resolution:** CNNs designed for a specific scale or resolution may not generalize well to images with significantly different scales or resolutions.
6. **Hyperparameter Tuning:** Selecting the appropriate hyperparameters (e.g., learning rate, batch size, number of layers) for a CNN can be challenging and may involve large trial and error.
7. **Lack of Robustness to Perturbations:** CNNs can be sensitive to small variations or uncertainty in input data, which may lead to misclassification. Adversarial attacks involve adding unnoticeable noise to inputs, causing the network to make incorrect predictions.
8. **Bias and Fairness:** CNNs can accidentally learn biases present in the training data, leading to biased or unfair predictions. Ensuring fairness and mitigating bias in CNNs is an ongoing challenge.
9. **Interpretable Representations:** While CNNs are excellent at feature learning, the learned representations are often not easily interpretable by humans, making it hard to understand why certain decisions are made.
10. **Localization Accuracy:** While CNNs can recognize objects, accurately localizing their precise boundaries within an image can still be challenging, especially for objects with complex shapes or overlapping instances.
11. **Limited Understanding of Context:** CNNs lack a deep understanding of context and may struggle with tasks that require high-level reasoning or commonsense knowledge.
12. **Data Augmentation Limitations:** Data augmentation, a common technique to artificially increase the size of the training dataset, may not always be suitable for certain tasks.
13. **Transfer Learning and Generalization:** While transfer learning allows CNNs to reuse knowledge from pre-trained models, ensuring effective generalization to new tasks or domains requires careful fine-tuning and adaptation.
14. **Explainability:** Explaining the decision-making process of CNNs, particularly for complex tasks, remains a challenge. Providing clear explanations for model predictions is important in critical applications like medicine and law.

Addressing these challenges is an ongoing area of research and innovation in the field of deep learning,

with efforts focused on improving CNN architectures, developing novel training techniques, and ensuring ethical and responsible use of these powerful models.

7. CONCLUSION

In the modern era, the domain Artificial Intelligence (AI) has experienced a significant transformation towards technologies like Machine Learning (ML) and Deep Learning (DL). These developments have established a notable standing within the technology sphere, often considered the apex of modern innovation. Significantly, deep learning, a subset of machine learning has progressively established itself as the dominant computational methodology in the field of ML. The Convolutional Neural Network (CNN) excels as one of the widely embraced and highly esteemed deep learning architecture. CNNs have become a cornerstone in the world of deep learning, particularly for visual data analysis. Its architectural design and ability to automatically learn features have led to breakthroughs in image analysis, enabling machines to understand and interpret visual information at a human-like level. CNN are an illustration of black box model this signifies that experts are not sure about internal work of CNN for classification. This research study elaborates critical review of deep learning, CNN, layered architecture, its' activation functions, wide application area and challenges.

Conflict of interest statement

Authors declare that they do not have any conflict of interest.

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